

02 - 08 - 01

EXPRESS MAIL LABEL NO. EL746147205US

DOCKET NO. 600-A01-001

02/07/01  
J1040 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

J1040 U.S. PTO  
09/778686  
02/07/01

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

**INVENTORS:** Kevin GUARINO, Robert MITCHELL, Robert HENRICHS and Joseph LOMBARDO

**TITLE:** *SYSTEM AND METHOD FOR FACILITATING TIME AND EQUITY LIMITED  
FINANCIAL TRANSACTIONS ACROSS A COMPUTER NETWORK*

## ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

**ASSIGNEE NAME:** Tradegame International, Inc.  
**ASSIGNEE RESIDENCE:** Lake Success, New York

In connection with this application, the following are enclosed:


- ☒ Fee Transmittal Form w/USPTO credit card payment form
- ☒ Applicant claims small entity status.
- ☐ 13 Pages of Specification, Claims and Abstract
- ☐ 16 Claims
- ☐ 32 Sheets of informal drawings (FIGS. 1-32)
- ☒ Declaration, Power of Attorney
- ☒ Assignment recordation sheet w/Assignment to: Tradegame International, Inc.

Please direct all correspondence to Customer Number 23334.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN  
& BONGINI P.L.  
One Boca Commerce Center  
551 Northwest 77th Street, Suite 111  
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(561) 989-9811  
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BY:

  
Jose Gutman  
Reg. No. 35,171

**FEE TRANSMITTAL**

Transmitted herewith for filing is the patent application of:

**INVENTORS:** Kevin GUARINO, Robert MITCHELL, Robert HENRICHS and Joseph LOMBARDO

**TITLE:** *SYSTEM AND METHOD FOR FACILITATING TIME AND EQUITY LIMITED  
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The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$710 (\$355)
Total Claims	16	20	0	\$18 (\$9)	0
Independent Claims	3	3	0	\$80 (\$40)	0
Multiple Dependent Claims				\$270 (\$135)	0
Assignment Recording Fee				\$40	40
				<b>TOTAL FEE:</b>	<b>\$ 395</b>


XX Enclosed are USPTO credit card payment forms authorizing payment of \$355.00 for the filing fee and \$40.00 for the assignment recordation fee.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 50-1556.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN  
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02-08-01

A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: 600-A01-001  
Kevin GUARINO *et al.* : APPLICATIONS BRANCH  
Serial No. (not yet assigned) :  
Filed: HEREWITH :  
FOR: SYSTEM AND METHOD FOR FACILITATING TIME AND EQUITY  
LIMITED FINANCIAL TRANSACTIONS ACROSS A COMPUTER  
NETWORK

CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. EL746147205US  
Date of Deposit: February 7, 2001

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

I hereby certify that

☒ Application Transmittal  
☒ Fee Transmittal Form w/USPTO credit card payment form  
☒ Specification, Claims, Abstract  
☒ 1 set of 32 sheets of formal drawings  
☒ Declaration and Power of Attorney  
☒ Assignment recordation sheet w/USPTO credit card payment  
form and Assignment  
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service  
under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

2/7/01  
Date of Deposit

Kathleen Smith  
Name of person mailing papers  
Kathleen Smith  
Signature